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TRANSMITTAL FORM  (to be used for all correspondence after initial filing)			Application Number Filing Date First Named Inventor Group Art Unit		09/073,494			
					5/6/1998	3		
					Pan et a	ıl.		
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			Exami	iner Name	H. Vu	· · · · · · · · · · · · · · · · · · ·	۵,	
Total Number of Pages in This Submission • 🕈			Attorney Docket Number		2269-2915.1US		CCC,	
		FNCLO	OSURES	(check all that apply)	<u></u>	Crya, Wife		
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Assignment Papers (for an Application)		red  Transmittal of Formal Drawings			Requ	irement dated ndment under 37 C.F.R. § 1.116 sponse to final office action dated	6	
Petition		Formal Drawings ( sheets)				ndment in response to office n dated November 5, 2001		
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	SIGNA	TURE OF	APPLIC	ANT, ATTORNEY, O	R AGENT			
Firm or Individual name	Devin R. Jensen Registration No. 44,805							
Signature	Dein E Guman							
Date February 4, 2002								
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## **PATENT**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Pan et al.

Serial No.: 09/073,494

Filed: May 6, 1998

For: TECHNIQUE FOR ELIMINATION OF PITTING ON SILICON SUBSTRATE

**DURING GATE STACK ETCH** 

Examiner: H. Vu

**Group Art Unit: 2811** 

**Attorney Docket No.:** 2915.1US (96-149.1)

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I hereby certify that this correspondence along with any attachments, referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail (under 37 C.F.R. § 1.8(a)) on the date of deposit shown below with sufficient postage and in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

February 4, 2002 Date of Deposit

Signature of registered practitioner or other person having reasonable basis to expect mailing to occur on date of deposit shown pursuant to 37. C.F.R. § 1.8(a)(1)(ii)

Devin R. Jensen Typed/printed name of person whose signature is contained above

## **AMENDMENT**

Box Non Fee Amendment Commissioner for Patents Washington, D.C. 20231

Sir:

The following amendments and remarks are filed in response to the Examiner's remarks in the Office Action mailed November 5, 2001, the three-month shortened statutory period for response to which expires on February 5, 2002.

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